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REMARK ON A CLASS OF FADDEEV-POPOV

LAGRANGIANS IN GAUGE THEORIES

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We will consider a non-abelian gauge theory of the Yang-Mills type ⁽¹⁾, consisting of a set of N gauge fields A_μ^a ($a=1, \dots, N$), one for each group generator. As is well known, this theory is invariant under a set of gauge transformations of the second kind. Due to this circumstance, in order to quantize the theory, it is necessary to choose gauge determining conditions such as : $F_a(A) = \alpha_a$, where the α 's are independent of the fields A_μ^a and of the coupling constant g .

Faddeev and Popov ⁽²⁾ have shown that the vacuum to vacuum amplitude for a gauge theory should be written as :

$$W(\alpha_a) = \int [dA] e^{iS(A)} \det M \prod_a \delta[F_a(A) - \alpha_a] \quad (1)$$

where $S(A)$ is the gauge invariant action and M is given by :

$$M_{ab} = \frac{\delta F_a}{\delta A_\mu^c} (\delta_{cb} \partial_\mu - g f_{cbe} A_\mu^e) \quad (2)$$

In expression (2), f_{cbe} are the antisymmetric structure constants of the group. (In the following, unless otherwise stated, summation and integration over repeated indices will always be understood.) Furthermore, $\det M$ can be described ⁽²⁾ as loops generated by a set of complex scalar fields G_a and \bar{G}_a , obeying Fermi-Dirac statistics :

$$\det M = \int [dG][d\bar{G}] \exp i \int d^4x \bar{G}_a M_{ab} G_b \quad (3)$$

Here, we wish to investigate whether Lorentz invariant gauge conditions exist, which can generate functions M , so that :

$$S_{FP} = \int d^4x \bar{G}_a M_{ab} G_b = - \int d^4x (D_\mu^2 G)_a^\dagger (D_\mu^1 G)_a \quad (4)$$

$$\text{with } (D_\mu^{1,2})_{ab} = \delta_{ab} - k_{1,2} g f_{abc} A_\mu^c \equiv (\partial_\mu - k_{1,2} \tilde{A}_\mu) \quad (5)$$

(1) C.N. Yang and R.L. Mills, Phys. Rev. 96, (1954), 191.

(2) L.D. Faddeev and V. Popov, Kiev Report ITP 67-36 (unpublished).

The motivation for considering Faddeev-Popov Lagrangians of this form is that this class contains two important particular cases. First, when $k_1 = k_2 = 0$, one would have a theory where the Faddeev-Popov fields are free ⁽³⁾. In the second case, when $k_1 = k_2 = 1$, considering that the fields G_a transform according to the adjoint representation of the group, one would have a gauge invariant Faddeev-Popov Lagrangian. From (4) and (5) we obtain the expression for \mathcal{M} :

$$\mathcal{M} = \partial^2 - g(k_1 \partial \cdot \tilde{A} + k_2 \tilde{A} \cdot \partial) + g^2 k_1 k_2 \tilde{A}^2 \quad (6)$$

$$\text{with } \partial \cdot \tilde{A} \equiv (\partial \cdot \tilde{A}) + \tilde{A} \cdot \partial \quad (7)$$

Note that \mathcal{M} given by (6) is a Lorentz scalar. From equation (2), since $D_\mu \equiv \partial_\mu - g \tilde{A}_\mu$ is a Lorentz four-vector, it follows that

$$\frac{\delta F_a}{\delta A_\mu^c} \equiv \left(\frac{\delta F}{\delta A_\mu^{ac}} \right)$$

is also a Lorentz four-vector. (This implies that F_a should be a Lorentz scalar.) Therefore, with \mathcal{M} given by (6), the most general solution to equation (2) is given by:

$$\frac{\delta F}{\delta A_\mu} = \mathcal{M} (D \cdot D)^{-1} D_\mu + O_\mu \quad (8)$$

Here, O_μ is an arbitrary operator, orthogonal to D_μ :

$$O_\mu D_\mu = 0 \quad (9)$$

Of course, we require that O_μ be well defined, at least in perturbation theory. We can write O_μ as follows:

$$O_\mu = \sum_{n=0}^{\infty} g^n O_\mu^n \quad (10)$$

where O_μ^n are well defined functions, which will be discussed later.

Returning now to equation (8), we see that this represents, in fact, a set of identities which must hold, order by

(3) There exist non-covariant "axial" gauges, which do not lead to the appearance of ghosts. See, e.g., E.S. Fradkin and I.V. Tyutin, Phys. Rev. D2, (1970), 2841.

order, in perturbation theory. Therefore, with :

$$F^a = \sum_{i=0}^{\infty} g^i F_i^a \quad (11)$$

we must have, in our case :

$$\frac{\delta F_0}{\delta A_\mu} \equiv \partial_\mu + O_\mu^0 \quad (12a)$$

$$\frac{\delta F_1}{\delta A_\mu} \equiv [(1-k_1)\partial \cdot \tilde{A} - k_2 \tilde{A} \cdot \partial] \mathcal{D} \partial_\mu + [\tilde{A} \cdot \partial \mathcal{D} \partial_\mu - \tilde{A}_\mu] + O_\mu^1 \quad (12b)$$

etc., where we defined \mathcal{D} by the relation : $\partial^2 \mathcal{D} = 1$.

Remark that if a gauge function F^a exists, which satisfies equation (8), this necessarily requires the existence, for all i , of functions F_i^a satisfying the set of identities (12). Therefore, if we can show that for some i , there cannot exist functions F_i^a with these properties, we can conclude that there are no gauge determining conditions F^a , which can generate Faddeev-Popov Lagrangians of the general form given by equation (4). So it is sufficient to study in detail only the set of equations (12a) and (12b).

We observe that, when $g=0$, $m=\partial^2$ and $F = F_0$. By inspection of equation (2), we see that in this case :

$$F_0 = (\partial \cdot A) \quad (13)$$

generates the function $m=\partial^2$. Therefore, in equation (12a), O_μ^0 must be zero.

Now, consider equation (12b). In this equation, O_μ^1 satisfies the relation $O_\mu^1 \partial_\mu = 0$, which follows from the fact that O_μ^0 vanishes and that equation (9) must hold identically, order by order in g . If a function F_1^a can exist, such that functional derivative with respect to A_μ satisfies equation (12b) then, using the property discussed above, we should have :

$$\frac{\delta F_1}{\delta A_\mu} \partial_\mu \equiv (1-k_1)\partial \cdot \tilde{A} - k_2 \tilde{A} \cdot \partial \quad (14)$$

From definition (7), we see that relation (14) can hold identically for arbitrary A_μ^a only if $k_1 = 1$. When $k_1 \neq 1$, (14) can hold only on the manifold $(\partial \cdot A^a) = 0$. We will discuss this case later.

So, in general, we must have $k_1 = 1$, and equation (14) becomes :

$$\frac{\delta F_1^a}{\delta A_\mu^b} \partial_\mu = -k_2 f_{abc} A^c \partial \quad (15)$$

In order to satisfy (15), F_1^a should be quadratic in the gauge fields, if $k_2 \neq 0$. Since it is a Lorentz scalar, the most general form for F_1^a is given by :

$$F_1^a = A_\mu^m O^{mna}_{\mu\nu} A_\nu^n \quad (16)$$

where $O^{mna}_{\mu\nu}$ is a dimensionless operator, independent of the fields A_μ^a . Due to this circumstance, we can write $O^{mna}_{\mu\nu}$ as :

$$O^{mna}_{\mu\nu} = R^{mna} P_{\mu\nu} \quad (17)$$

so that, using relations (15) and (16), we obtain :

$$(R^{bca} P_{\mu\nu} A_\nu^c + A_\nu^c R^{cba} P_{\nu\mu}) \partial_\mu = -k_2 f_{abc} A^c \partial \quad (18)$$

Taking the functional derivative to this relation with respect to A_ν^c and applying the result thus obtained on ∂_ν , using the fact that $\partial_\mu \partial_\nu$ is a symmetric operator, we find :

$$\frac{1}{2} (R^{bca} + R^{cba}) (P_{\mu\nu} + P_{\nu\mu}) \partial_\mu \partial_\nu = -k_2 f_{abc} \partial^2 \quad (19)$$

However, the only way to satisfy equation (19) is to let $k_2 = 0$, since the left hand side is symmetric in the indices bc , while the right hand side is antisymmetric when $k_2 \neq 0$. So, a solution to equation (14) for arbitrary A_μ^a can exist only when $k_1 = 1$ and $k_2 = 0$, in which case (14) becomes a homogeneous equation.

Identical relations are obtained in higher orders for all F_i . To find a solution in this case, to any order in g , consider equation (8) with :

$$\mathcal{M}(k_1 = 1; k_2 = 0) = \partial^2 - g \partial \cdot \tilde{A} \quad (20a)$$

and the orthogonal operator O_μ given by :

$$O_\mu = (\partial^2 - g \partial \cdot \tilde{A}) [(\partial \cdot D)^{-1} \partial_\mu - (D \cdot D)^{-1} D_\mu] \quad (20b)$$

Then we obtain identically :

$$\frac{\delta F^a}{\delta A_\mu^b} \equiv \partial_\mu \delta_{ab} \quad (21)$$

so that we get just the Lorentz gauge condition :

$$F^a = \partial \cdot A^a \quad (22)$$

It remains now to consider equation (14) on the manifold $\partial \cdot A^c = 0$. On this manifold, a solution to this equation can readily be found. It is :

$$F_1 = [(1 - k_1) \partial \cdot \tilde{A} - k_2 \tilde{A} \cdot \partial] \mathcal{D} \partial \cdot A \quad (23)$$

so that, to first order in g , we can write F as :

$$F = \{ \partial^2 + g [(1 - k_1) \partial \cdot \tilde{A} - k_2 \tilde{A} \cdot \partial] \} \mathcal{D} \partial \cdot A \quad (24)$$

This relation can easily be generalized to any order in g . We obtain :

$$F = \mathcal{M} (\partial^2 - g \partial \cdot \tilde{A})^{-1} \partial \cdot A \quad (25)$$

It can be checked that equation (25) generates, indeed, \mathcal{M} of the form (6) on the manifold $\partial \cdot A^c = 0$. In order to interpret equation (25), we remark that in equation (1) it is necessary to calculate \mathcal{M} only on the manifold $F_a(A) = \alpha_a$. So, by choosing $\alpha_a = 0$, we see that with :

$$\mathcal{M} (\partial^2 - g \partial \cdot \tilde{A})^{-1} \partial \cdot A = 0 \quad (26)$$

it follows that $\partial \cdot A^c = 0$. This is due to the fact that equation (26) must hold for arbitrary g , and that, to zero order in g , $\det \mathcal{M} (\partial^2 - g \partial \cdot \tilde{A})^{-1} = 1$, does not vanish. However in this case, using equation (26), we obtain from (1) :

$$\begin{aligned} W(0) &= \int [dA] e^{iS(A)} \det \mathcal{M} \prod_a \delta[\mathcal{M} (\partial^2 - g \partial \cdot \tilde{A})^{-1} \partial \cdot A]_a = \\ &= \int [dA] e^{iS(A)} \det (\partial^2 - g \partial \cdot \tilde{A}) \prod_a \delta[\partial \cdot A^a] \end{aligned} \quad (27)$$

We see, using (20a) and (22), that expression (27) is just the vacuum to vacuum amplitude for the gauge condition $\partial \cdot A^a = 0$ in the Lorentz gauge $\alpha_a = 0$.

So, excepting the case $k_1 = 1; k_2 = 0$, we see that it is not possible to construct Lorentz invariant gauge conditions which can generate Faddeev-Popov Lagrangians of the general form

given by equation (4).

It is interesting to understand this result from another point of view. We can think of equation (8) as a set of N (in general distinct) conditions, which the functions F_i^a must simultaneously satisfy, for any given a . To illustrate this, consider again relation (14), which is an inhomogeneous equation when $k_2 \neq 0$. The solution corresponding to the inhomogeneous part of this equation should have the form :

$$\frac{\delta F_1^a}{\delta A_\mu^b} = f_{abc} [(1-k_1) \partial \cdot A^c - k_2 A^c \cdot \partial] \mathbb{D} \partial_\mu \quad (28)$$

Remark that, when $k_1 = 1$ and $k_2 = 0$, the right hand side vanishes and the constraints that the functions F_i^a must satisfy become identical. On the other hand, when $k_1 \neq 1$ and $k_2 \neq 0$, these conditions are, in general, distinct. For example, given any fixed a , consider two indices β and γ , such that $f_{a\beta\gamma} \neq 0$. Then, with $b = \beta$, using the fact that the structure constants are completely antisymmetric, we can solve the above equation, obtaining (now we drop the summation convention) :

$$F_1^a = \sum_c f_{apc} [(1-k_1) \partial \cdot A^c - k_2 A^c \cdot \partial] \mathbb{D} \partial \cdot A^\beta + C^a \quad (29)$$

where the functional C^a is independent of the field A^β . Now, the important point to notice is that F_1^a , as given above, at the same time, should also satisfy equation (28) for $b = \gamma$. Then we find :

$$\begin{aligned} \frac{\delta C^a}{\delta A_\mu^\gamma} = & f_{a\gamma\beta} \{ [(1-k_1) \partial_\mu - k_2 \partial_\mu] \mathbb{D} \partial \cdot A^\beta + \\ & + [(1-k_1) \partial \cdot A^\beta - k_2 A^\beta \cdot \partial] \mathbb{D} \partial_\mu \} + \\ & + \sum_{s \neq \beta} f_{a\gamma s} [(1-k_1) \partial \cdot A^s - k_2 A^s \cdot \partial] \mathbb{D} \partial_\mu \end{aligned} \quad (30)$$

Since $f_{a\gamma\beta}$ is different from zero, this relation cannot be satisfied unless $k_1 - 1 = k_2 = 0$, because the left hand side is independent of A^β .

We have also considered F^a in higher orders in perturbation theory, (although, as we have seen, this is not strictly

necessary for the argument), with the same result. That is, in a non-abelian gauge theory, due to the fact that there are many simultaneous different constraints, it is not possible to construct functions F_i^a which can satisfy equation (8), except in the case $k_1 = 1$ and $k_2 = 0$.

We can then conclude with the statement that, in non-abelian gauge theories, there do not exist Lorentz invariant gauge conditions, which can generate Faddeev-Popov Lagrangians, who are gauge invariant or such that the Faddeev-Popov fields are free fields.

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